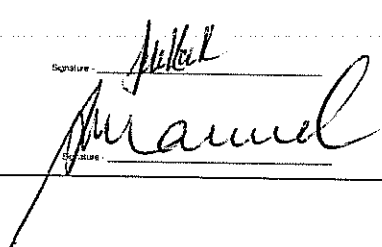
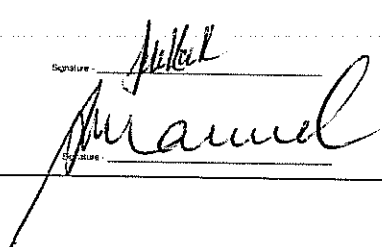


NATIONAL TREASURY UNIT									
MONTHLY REPORT - FINANCE MANAGEMENT UNIT (FMU) - DIVISION OF REVENUE ACT (DORA)									
<p>Note: Must be filed to 017-315-6230 (06/09/2017) A. completed to 10/01/2017 and 2017-2018. The municipality is required to complete report by 01/31/2018.</p> <p>Note: Funds requested in this report should be completed. Other funds are automatic and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.</p>									
<p>Name of Municipality: NGO's Municipality</p> <p>Financial Year: 2017/18</p> <p>Month: 1st January</p>									
Section A: Previous Financial Year									
Financial Management Grant Received and Expenditure Incurred 2016/17									
Total FMU received		Hand		Comment					
1,000,000.00		1,000,000.00							
Total FMU expenditure		Hand							
1,000,000.00		1,000,000.00							
FMU surplus		Hand							
0.00		0.00		Note: If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.					
Total FMU surplus as at end of financial year		Hand		Note: This should only be surplus FMU funds returned to the National Revenue Fund or taken off equitable share					
0.00		0.00		Note: This should be funds that are approved by HT, as follows					
Section B: Current Financial Year									
Financial Management Grant Received and Expenditure Incurred									
Total FMU received for current financial year		Hand		Comment					
1,000,000.00		1,000,000.00							
Total surplus FMU approved for rollover (Refer to Section A. A15)		Hand							
0.00		0.00							
Total FMU received		Hand							
1,000,000.00		1,000,000.00							
Total spent year-to-date (For last month return - Section B. A31)		Hand		Please note for July's return, this amount would be 0.					
1,000,000.00		1,000,000.00							
Total spending the month		Hand		Comment					
120,174.10		120,174.10							
Interns, Support Staff and Training		Hand							
12,877.29		12,877.29							
Tenders in respect of Municipal Competency Requirements		Hand							
1,000,000.00		1,000,000.00							
Tenders in respect of capacity in budget and Treasury Office (B10), external audit and audit committee		Hand							
0.00		0.00							
Acquisition, Upgrades and Maintenance of Financial Systems and Software		Hand							
1,000,000.00		1,000,000.00							
Procurement and implementation of Financial Systems and Software		Hand							
1,000,000.00		1,000,000.00							
Current implementation of corrective actions to address audit findings		Hand							
1,000,000.00		1,000,000.00							
Preparation and implementation of Financial Systems Plans		Hand							
1,000,000.00		1,000,000.00							
Adherence to standards stipulated in the FUCUM Assessment report		Hand							
1,000,000.00		1,000,000.00							
Total FMU spent		Hand							
1,220,051.39		1,220,051.39							
Percentage spent		Hand							
12.01%		12.01%							
Total FMU surplus for current financial year		Hand		Note: ADMM must return any surplus FMU allocations not approved for rollover to the National Revenue Fund					
0.00		0.00							
Section C: (Current Financial Year)									
The municipality is required to complete and submit the FMU Support Plan to the National Treasury by 31st April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days									
Performance Information: Institutional									
Appointment of appropriate senior CEO consistent with the municipality's constitution		Yes/No		Number		Yes/No		Name of CEO	
No		No		1		Yes		T. de Kock	
Appointment of appropriate senior Senior Financial Managers in the DFO		Yes/No		Number		Yes/No		Name of SSM	
Yes		Yes		1		Yes		MF. Maseko	
Appointment of appropriate senior internal audit personnel		Yes/No		Number		Yes/No		Name of IAA	
No		No		1		Yes		Overseen in DFO by SSM DFO	
Appointment of appropriate senior FUCUM personnel		Yes/No		Number		Yes/No		Name of FUCUM	
Yes		Yes		1		Yes			
Section D: (Current Financial Year)									
Performance Information: Audit Outcomes		Audit Outcome		Audit Outcome		Audit Action Plan in place (Yes/No)		Audit Action Plan implemented (Yes/No)	
2016/17		2016/17		2016/17		2016/17		2016/17	
Unqualified with findings		Unqualified with findings		Yes		Yes		Yes	
Audit Outcome achieved		Unqualified with findings		Please report on the previous year audit action plan until the audit action plan for this is developed				There are still 0 questions you have not answered in this section	
Audit Action Plan		Unqualified with findings		Yes		Yes		There are still 0 questions you have not answered in this section	
Section E: (Current Financial Year)									
Performance Information: Financial Management Capability Maturity Module (FMCMM)		Development of an action plan to address the shortcomings identified in FMCMM and ratio assessment report		Modules and ratios that the municipality will be addressing		Total number of items on the FMCMM and ratio Action plan		Number of items completed on the FMCMM and ratio Action plan	
Did the municipality develop an action plan to address the shortcomings identified in the FMCMM and ratio assessment report?		No		Capacity Building		13		23	
The FMCMM action plan must be submitted to HT by 30 September and a progress report on implementation of the plan on a quarterly basis thereafter		No		HR		13		22	
		No		APR		13		22	
		No		Annual Report		13		22	
		No		Budget		13		22	
		No		Asset Management		13		22	
		No		Risk Management		13		22	
		No		Compensation Employees		13		22	
		No		Expenditure Management		13		22	
		No		Grants and Transfers		13		22	
		No		IT		13		22	
		No		Liability Management		13		22	
		No		Reporting		13		22	
		No		Revenue Management		13		22	
		No		Risk Management		13		22	
		No		RCM		13		22	
Section F: (Current Financial Year)									
Performance Information: Internal Audit Units (IA) and Audit Committees (AC)		Yes/No		Outsourced Co-Sourced Inhouse		No of Recommendations and recommendations		Number Implemented	
Internal Audit Unit Established		Yes		Outsourced		4		4	
Audit Committee Established		Yes		Inhouse		0		0	
Recommendations and recommendations of IA		Yes		Inhouse		4		4	
Recommendations and recommendations of AC		Yes		Inhouse		0		0	
Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegates									
Name of the Chief Financial Officer (Acting) - T. de Kock									
Signature: 									
Date - 2018-01-31									
Name of the Accounting Officer - MF. Maseko									
Signature: 									
Date - 2018-01-31									