NATIONAL TREASURY (NT)

MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DoRA)

Note - Must be faxed to : 012 - 315 5230/ 086 650 5417 & emailed to lindiwe.sekute@gov.za. The municipality is required to confirm receipt by calling 012 395 6541/6509

Note - Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.

Name of Municipality	NC074 Kareeberg
Financial Year	2017/18
Month	M05 November

Section A: Previous Financial Year

Financial Management Grant Received and Expenditure Incurred 2016/17	Rand	Comment
Total FMG received	1 800 000.00	
Total FMG Expenditure	1 800 000.00	
FMG unspent	0.00	Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount
FMG unspent and returned to the National Revenue Fund		Note - This should only be unspent FMG funds returned to the National Revenue Fund or take off equitable share
Total FMG unspent as at end of financial year	0.00	Note - This should be funds that are approved by NT as rollover

Section B: Current Financial Year 2017/18

Financial Management Grant Received and Expenditure Incurred	Rand	Comment
Total FMG received for current financial year	1 900 000.00	
Total unspent FMG approved for rollover (Refer to Section A: A11)	0.00	
Total FMG received	1 900 000.00	
Total spent year to date (See last monthly return - Section B: A31)	831 616.70	Please note for July's return, this amount would be 0

Total spending this month	178 221.81	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent		Comment
- Maintenance and Repairs	21 310.00	10 190.00	31 510.00	300 000.00	268 490.00		
- Funds in support of Minimum Competency Regulations			0.00		0.00		
- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee	4 778.00	9 161.50	13 939.50	205 000.00	191 173.84		
- Annual Licence, Upgrades and Maintenance of Financial Systems and Matrix	147 903.80	149 000.00	296 903.80	700 000.00	403 096.20		
- Preparation and timely submission of Annual Financial Statements for audits	3 378.58	578 412.79	581 791.37	500 000.00	23 251.63	Revise and resubmit support plan	
- Current implementation of corrective actions to address audit findings	0.00	136 148.45	136 148.45	200 000.00	63 851.55		
- Preparation and implementation of Financial Recovery Plans		0.00	0.00		0.00		
- Address shortcomings identified in the FMCMM Assessment report			0.00		0.00		
Total FMG spent	1 008 628.04	831 912.70	1 840 540.74	1 900 000.00	891 373.96		
Percentage spent	53.09						
Total FMG unspent for current financial year	891 373.96	Note - ACMM must return any unspent FMG allocations not approved for rollover to the National Revenue Fund					

Section C: (Current Financial Year)

The municipality is required to compile and submit the FMG Support Plan to the National Treasury by 5th April prior to the commencement of the new financial year and any amendments thereafter, within 30 days

Performance Information: Institutional	Yes/No	Number	CFO Acting Yes/No	Name of CFO	MM Acting (Yes/No)	Name of MM
Appointment of appropriately skilled CFO complied with the competency regulations	No		Yes	T de Kock	Yes	W de Bruin
Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes					
Appointment of appropriately skilled Internal Audit personnel	No			Outsourced to Piano as Senior DM		
Appointment of appropriately skilled ACM personnel	Yes					
Number of criteria accounted		1				

Section D: (Current Financial Year)

Performance Information: Audit Outcomes	Audit Outcome 2015/16	Audit Outcome 2016/17	Audit Action Plan in place (Yes/No)	Audit Action Plan implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	
Audit Outcome achieved	Unqualified with findings	Unqualified with findings	Please report on the previous year audit action plan until the audit action plan for the n is developed						There are still 0 questions you have not answered in this section
Audit Action Plan			Yes	Yes	11	2	13	31-Jun-17	There are still 0 questions you have not answered in this section

Performance Information: Financial Management Capability Maturity Module (FMCMM)	Development of an action plan to address the shortcomings identified on FMCMM and ratio assessment report	Modules and areas that the municipality will be addressing	Total number of items on the FMCMM and ratio Action plan	Number of items completed on the FMCMM and ratio Action Plan	Number of items outstanding on the FMCMM and ratio action plan	Planned completion date	
Did the municipality develop an action plan to address the shortcomings identified in the FMCMM and ratio assessment report *The FMCMM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis thereof*	No						There are still 0 questions you have not answered in this section

Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced Co-Sourced Inhouse	No of Resolutions and recommendations	Number Implemented	Number Outstanding	
Internal Audit Unit Established	Yes	Outsourced				There are still 0 questions you have not answered in this section
Audit Committee Established	Yes	Inhouse				There are still 0 questions you have not answered in this section
Resolutions and recommendations of IA			4	0	4	There are still 0 questions you have not answered in this section
Resolutions and recommendations of AC						There are still 0 questions you have not answered in this section

Confirmation & Authorisation from the Accounting Officer & Chief Financial Officer or Delegates

Name of the Chief Financial Officer (Acting) - T de Kock Signature - Date - 2017-11-30

Name of the Accounting Officer - W de Bruin Signature - Date - 2017-11-30