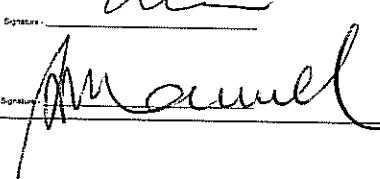


| NATIONAL TREASURY (NT)   |  |  |                              |   |  |                                       |
|--|--|--|------------------------------|---|--|---------------------------------------|
| MONTHLY REPORT - FINANCIAL MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DO-RA)  |  |  |                              |   |  |                                       |
| <small>Note: Must be issued on D12-315-0229 FORM 800-0417 &amp; forwarded to the Minister by 10th April.</small><br><small>Note - Funds transferred to other funds for purposes of Other Funds are accounted and retained for comments. The Municipality is required to provide comments and supporting documentation where necessary.</small> |  |  |                              |   |  |                                       |
| Name of Municipality: NCPTA ACCESS   |  |  |                              |   |  |                                       |
| Financial Year: 2017/18  |  |  |                              |   |  |                                       |
| Month: M23 August  |  |  |                              |   |  |                                       |
| <b>Section A: Previous Financial Year</b>  |  |  |                              |   |  |                                       |
| Financial Management Grant Received and Expenditure Incurred   |  | 2017/18  | Rand                         | Comment   |  |                                       |
| Total FMG received   |  | 1 870 000.00   |                              |   |  |                                       |
| Total FMG Disbursement   |  | 1 870 000.00   |                              |   |  |                                       |
| FMG spent  |  | 0.00   |                              | <small>Note - If funds remitted, advise process for remittance of funds. Please note that this should not be a residual amount.</small><br><small>Note - 794 should only be between FMG and a returned to the National Revenue Fund or taken as equity share.</small> |  |                                       |
| Total FMG amount as at end of financial year   |  | 0.00   |                              | <small>Note - This should be funds that are approved by the Minister.</small>   |  |                                       |
| <b>Section B: Current Financial Year</b>   |  |  |                              |   |  |                                       |
| Financial Management Grant Received and Expenditure Incurred   |  | 2018/19  | Rand                         | Comment   |  |                                       |
| Total FMG received by current financial year   |  | 1 870 000.00   |                              |   |  |                                       |
| Total amount FMG approved for misuse (Refers to Section A, A15)  |  | 0.00   |                              |   |  |                                       |
| Total FMG received   |  | 1 870 000.00   |                              |   |  |                                       |
| Total grant year available (See last months return - Section B, A15)   |  |  |                              | <small>Please note for July's return, this amount should be 0.</small>  |  |                                       |
| Total expended this month:   |  |  |                              |   |  |                                       |
| - Items Received And Transferred   |  | 0.00   |                              |   |  | Comment                               |
| - Transfer in support of Municipal Capacity Development  |  |  |                              |   |  |                                       |
| - Increase strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit  |  | 0.00   |                              |   |  |                                       |
| - Acquisition, Utilisation and Maintenance of Financial Systems and Models   |  | 0.00   |                              |   |  |                                       |
| - Preparation and hosts Assessment of Annual Financial Statements and Audit  |  | 0.00   |                              |   |  |                                       |
| - Account implementation of corrective actions in annual financial statement   |  | 0.00   |                              |   |  |                                       |
| - Preparation and Implementation of Financial Statements Draft   |  | 0.00   |                              |   |  |                                       |
| - Address shortcoming identified in the FMCM Action Plan report  |  | 0.00   |                              |   |  |                                       |
| Total FMG spent  |  | 0.00   | 0.00                         |   |  |                                       |
| Parliamentary spent  |  | 0.00   |                              |   |  |                                       |
| Total FMG urgent for current financial year  |  | 1 870 000.00   |                              | <small>Note - ADM/MIN must return any urgent FMG allocations not approved for release, to the National Revenue Fund.</small>  |  |                                       |
| <b>Section C: Current Financial Year</b>   |  |  |                              |   |  |                                       |
| <small>The municipality is required to compile and submit the FMG Support Plan to the National Treasury by 7th April, prior to the commencement of the new financial year and any amendments thereto, within 30 days.</small>  |  |  |                              |   |  |                                       |
| Performance Information: Investment  |  | Year-to-date   | Number                       | CFO Action  | Name of CFO  | SAI Action (Year/Ref)                 |
| Assessment of performance (CEM) conducted with the municipality concerned  |  | Yes  | 116                          | Completed   | Willem De Bruin  | For                                   |
| Assessment of performance of Head Service Financial Manager in the HTO   |  | Yes  |                              |   |  | For                                   |
| Assessment of performance of Head Internal Audit concerned   |  |  |                              |   | Completed in Phase 3.4 Service Delivery Monitoring               |                                       |
| Assessment of performance of Head FMG concerned  |  |  | 1                            |   | Jac Smit   | For                                   |
| Number of items assessed   |  |  |                              |   |  |                                       |
| <b>Section D: Current Financial Year</b>   |  |  |                              |   |  |                                       |
| Audit Outcomes   |  | Audit Outcomes   |                              |   |  |                                       |
| Performance Information: Audit Outcomes  |  | 2016/17  | 2017/18                      | Audit Action Plan in place<br>(Y/N/NA)  | Audit Action Plan implemented (Y/N/NA)                           | Total number of items on Audit Action |
| Audit Outcomes addressed   |  | Unqualified with findings  | Unqualified with findings    | Please refer to the previous year audit action plan until the audit action plan for the new year is developed.  |  |                                       |
| Audit Action Plan  |  |  |                              | Yes   | 4  | Planned completion date               |
| Performance Information: Financial Management Capacity Maturity Module (FMCM)  |  | Completion of an action plan to address the shortcomings identified in FMCM and ratio assessment report  |                              | Number of items on the FMCM and ratio Action Plan   | Number of items outstanding on the FMCM and ratio Action Plan    | Planned completion date               |
| Did the municipality develop an action plan to address the shortcomings identified in the FMCM and ratio assessment report?  |  | Not yet  |                              |   |  |                                       |
| The FMCM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis (every 3 months)   |  |  |                              |   |  |                                       |
| Performance Information: Internal Audit Units (IA) and Audit Committees (AC)   |  | Test/No  | Qualified Co-Sourced Inhouse | No of recommendations and recommendations   | Number Implemented   | Number Outstanding                    |
| Internal Audit Unit Performance  |  |  |                              |   |  |                                       |
| Audit Committee Performance  |  |  |                              |   |  |                                       |
| Ratio among audited accounts of IA   |  |  |                              |   |  |                                       |
| Ratio among audited accounts of AC   |  |  |                              |   |  |                                       |
| Performance Information: Disciplinary Actions  |  | Established Test/No  | Functional Test/No           | How many times did they meet the norms  | What were the resolutions taken (send copies of the resolutions) |                                       |
| Is the disciplinary action published and functional  |  |  |                              |   |  |                                       |
| Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegates  |  |  |                              |   |  |                                       |
| Name of the Chief Financial Officer: <u>W. de Bruin</u>  |  | <br><span style="float: right;">Date: <u>2018-09-07</u></span>  |                              |   |  |                                       |
| Name of the Accounting Officer: <u>MF Manuel</u>   |  | <br><span style="float: right;">Date: <u>2018-09-07</u></span> |                              |   |  |                                       |